

# **FATE THERAPEUTICS INC**

# Reported by **SHOEMAKER DANIEL D**

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 04/02/14 for the Period Ending 03/31/14

Address 3535 GENERAL ATOMICS COURT

**SUITE 200** 

SAN DIEGO, CA 92121

Telephone 858.875.1803

CIK 0001434316

Symbol FATE

SIC Code 2836 - Biological Products, Except Diagnostic Substances



Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				*	2. Issuer Name and Ticker or Trading Symbol						rading Symbo		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Shoemaker Daniel D				]	FATE THERAPEUTICS INC [ FATE ]						SINC	Directo	or	_	10% O	wner
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)						MM/DD/YYYY)	X Office	er (give title	e below)	Othe	r (specify
C/O FATE THERAPEUTICS, INC., 3535 GENERAL ATOMICS				IC.	3/31/2014							Chief Tec	hnology	Officer		
COURT, SUI		LA.	IOMIC	.5												
,					4. If Amendment, Date Original Filed (MM/DD/YYYY)						6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN DIEGO, CA 92121 (City) (State) (Zip)											_X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
1.Title of Security (Instr. 3)				2. Tra Date		Deemed	Code (Instr. 8	3)	Disposed (Instr. 3,	of (	D) Î	5. Amount of Sec Owned Followin (s) (Instr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
Common Stock 3/31				3/31/	2014		S (1)		8253	D	\$9.8308 <sup>(2) (3)</sup>		26362			
Common Stock 3/31				3/31/	2014		S (1)		400	D	<b>\$10.5684</b> (3) (4)		25962		D	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivate Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  2. Conversion or Exercise Price of Derivative Security  3. Trans. Deemed Execution Date, if any  Code  Code		Frans. Code Instr. 8)	(Inst	and E	and Expiration Date			Securities Und Derivative Sec (Instr. 3 and 4)	Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)  (Instr. 5)  (Instr. 5)  (Instr. 5)  (Instr. 4)  9. Number of derivative Securities Beneficially Owned Following Reported Transaction (s) (Instr. 4)		11. Nature of Indirect Beneficial Ownership (Instr. 4)			

#### **Explanation of Responses:**

- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 18, 2013.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$9.50 to \$10.30, inclusive.
- (3) The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2) and (4) to this Form 4.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.50 to \$10.66, inclusive.

**Reporting Owners** 

Paparting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Shoemaker Daniel D						

C/O FATE THERAPEUTICS, INC. 3535 GENERAL ATOMICS COURT, SUITE 200		Chief Technology Officer	
SAN DIEGO, CA 92121			

**Signatures** 

/s/ Cindy R. Tahl, as Attorney-in-Fact 4/1/2014 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

<sup>\*\*</sup> Signature of Reporting Person